Appendix 2: Performance Information and Summary Data 2018-19

Adult Safeguarding
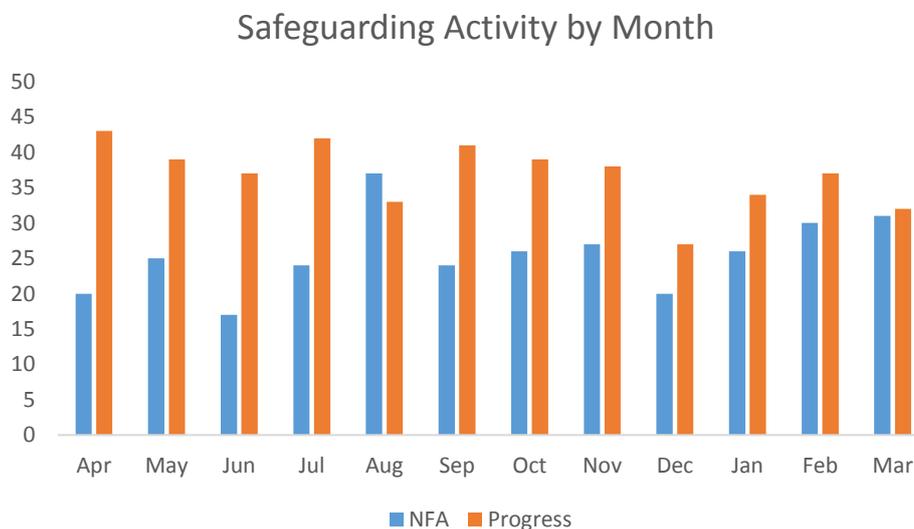
1.   Safeguarding Information

1.1 Safeguarding Concerns

A safeguarding Concern occurs when any safeguarding issue is first raised with Adult Social Care. After a Concern is received it is reviewed, considered and risk assessed. It will either be dealt with through another route if not considered to be a safeguarding matter, or it will advance to the next stage of the safeguarding process for fuller investigation and formal intervention. This is called a Section 42 Enquiry.

In the 2018-19 year, 749 safeguarding Concerns/Enquiries were received, with 307 resulting in No further Action.
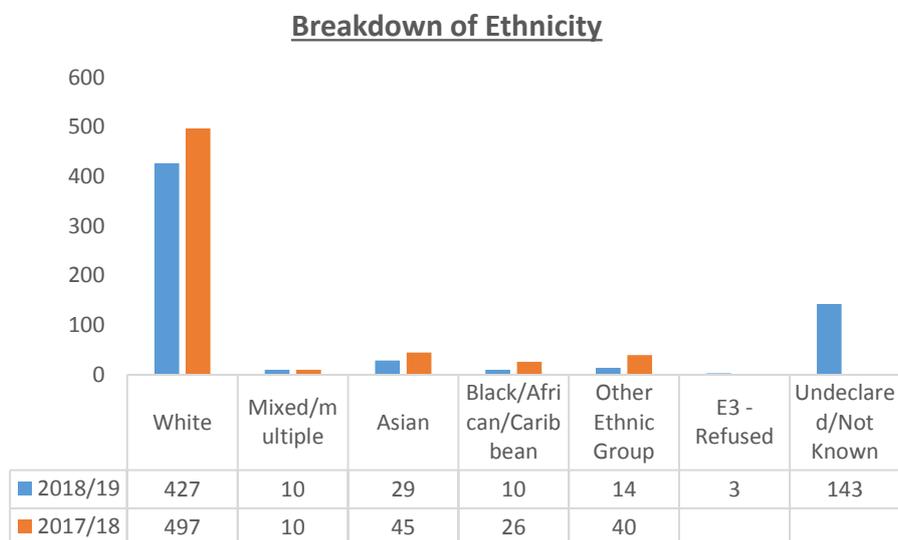
1.2 Safeguarding Activity by Month

Chart 1: Number of Concerns/Enquiries received by month in 2018/19
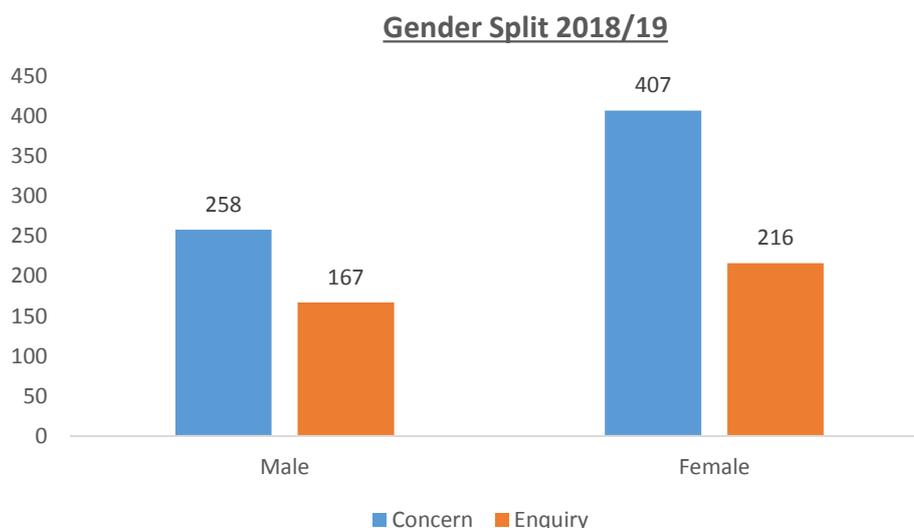
1.3 Demographics

Ethnicity: The majority of safeguarding enquiries were received in relation to residents with a white ethnicity origin in the last reporting year.

Chart 2: Breakdown of Ethnicity

Breakdown of Ethnicity

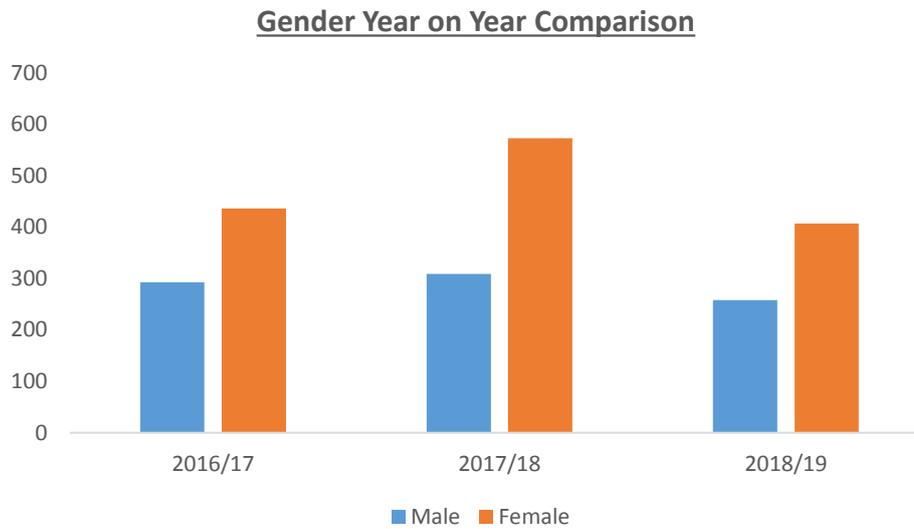|	White	Mixed/multiple	Asian	Black/African/Caribbean	Other Ethnic Group	E3 - Refused	Undeclared/Not Known
■ 2018/19	427	10	29	10	14	3	143
■ 2017/18	497	10	45	26	40		

Gender: The percentage of Enquiries relating to males has been consistently lower than females over the last (3) three years (Chart 4). The proportion of Enquiries relating to women is reflective of the higher population of women in receipt of services (Chart 3).

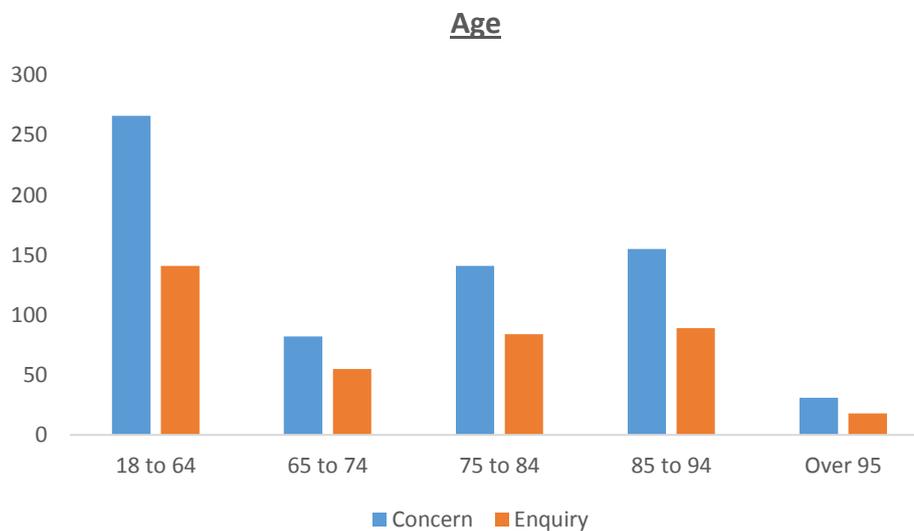**Chart 3**: Gender of people with safeguarding Concerns/Enquiries

Gender Split 2018/19

Male: Concern 258, Enquiry 167
Female: Concern 407, Enquiry 216

■ Concern ■ Enquiry

Chart 4: Gender of people with safeguarding Concerns/Enquiries in 2018/19 and in comparison with the previous years.
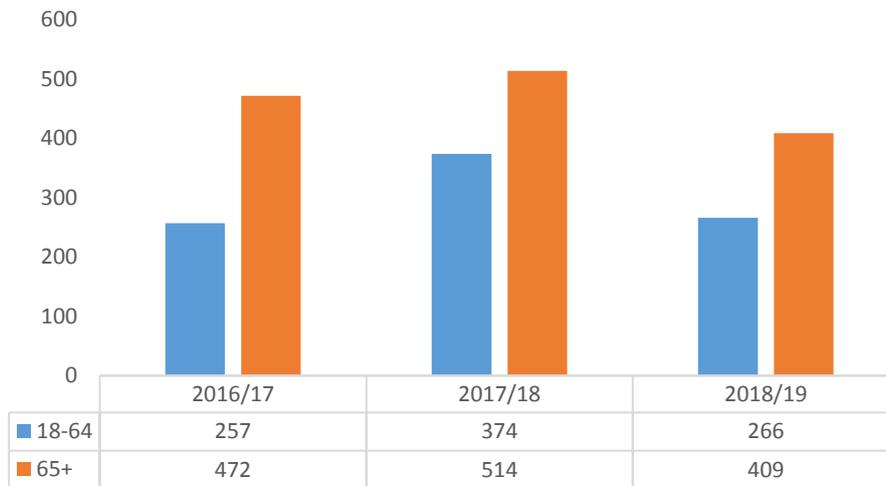
Gender Year on Year Comparison

Age: The number of Enquiries has increased during 2018/19 (Chart 6), indicating that we are seeing a high proportion of vulnerable older people.

Chart 5: Age of people with safeguarding referral enquiries in 2018/19.

Age

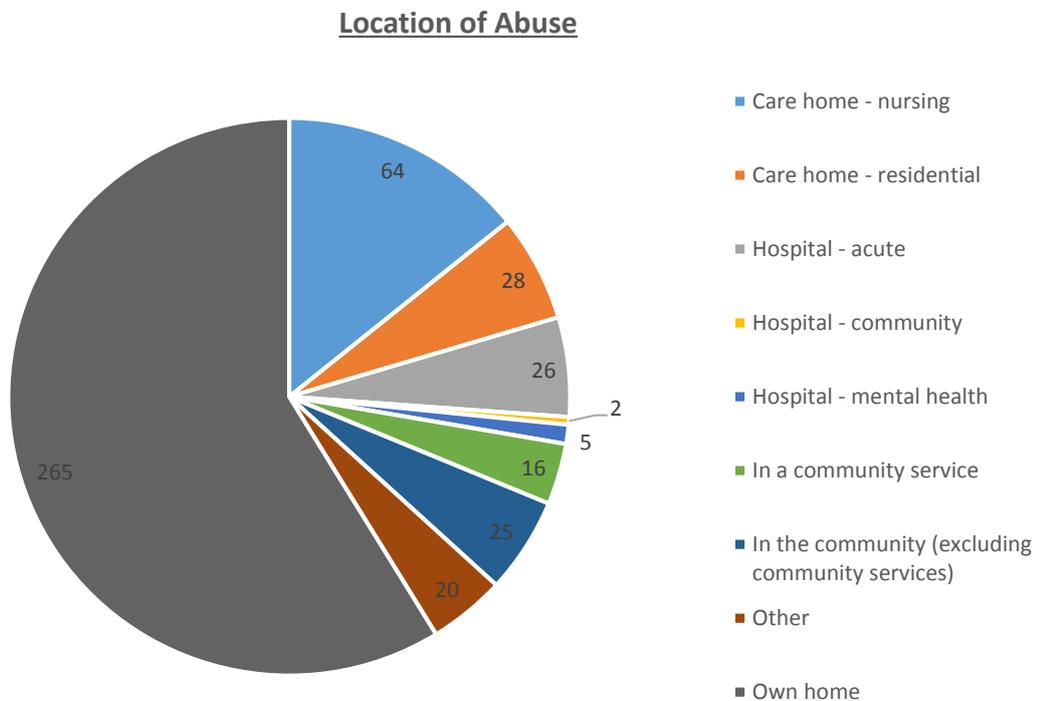**Chart 6**: Age of people with safeguarding referral enquiries in 2018/19 and in comparison with the previous years.

Age Year on Year Comparison

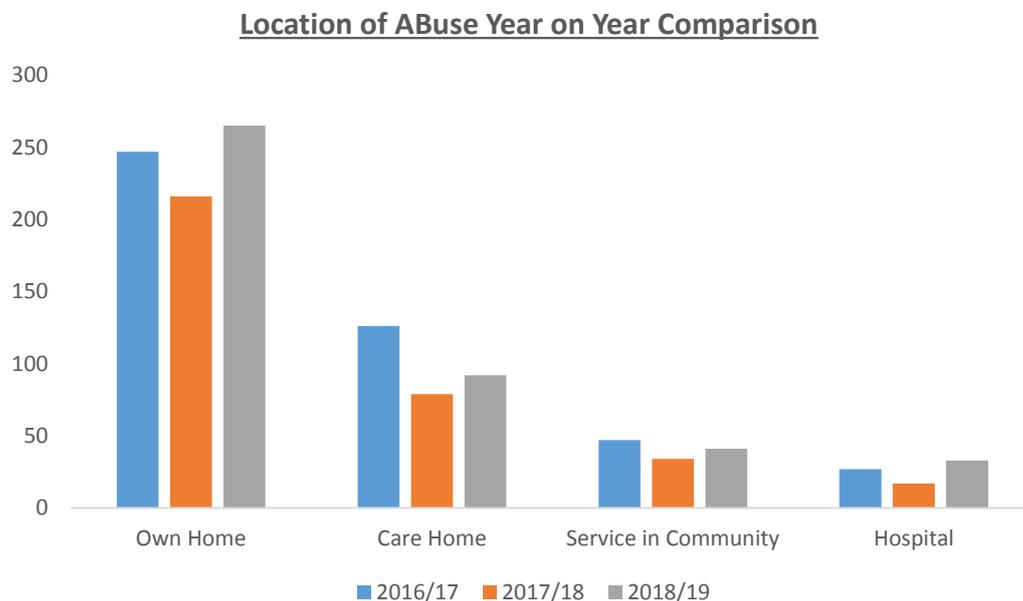|	2016/17	2017/18	2018/19
■ 18-64	257	374	266
■ 65+	472	514	409

1.3   Locations of Alleged Abuse of Concerns/Enquiries

As with previous years, adults at risk are more likely to be abused in their own home (Chart 7). During 2018/19 the year on year proportion of adults at risk abused within their own home has increased. (Chart 8).

Chart 7: Location of concerns/enquiries for 2018/19

Location of Abuse

- ■ Care home - nursing
- ■ Care home - residential
- ■ Hospital - acute
- ■ Hospital - community
- ■ Hospital - mental health
- ■ In a community service
- ■ In the community (excluding community services)
- ■ Other
- ■ Own home

64
28
26
2
5
16
25
20
265

Chart 8: Location of concerns/enquiries – comparison to previous year

Location of ABuse Year on Year Comparison

Legend: 2016/17, 2017/18, 2018/19

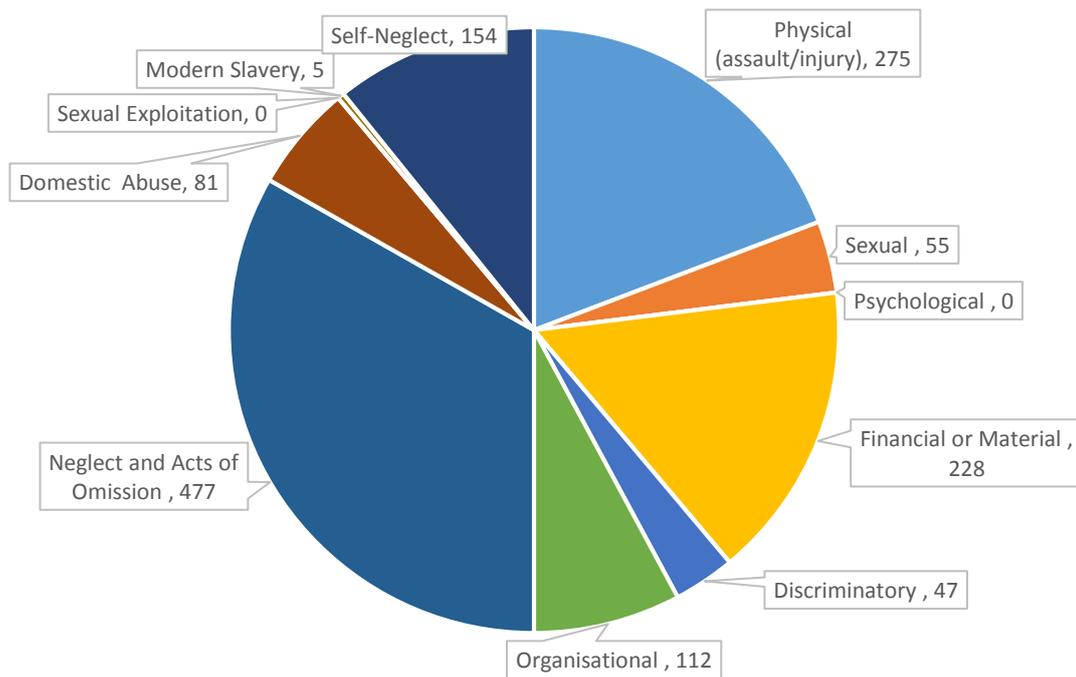Categories: Own Home, Care Home, Service in Community, Hospital
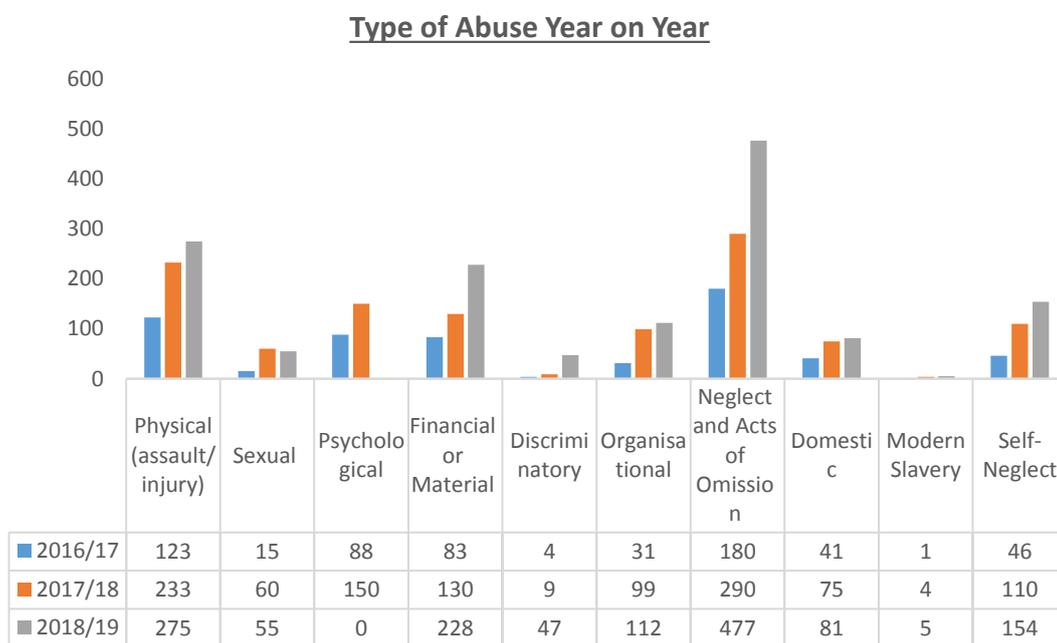
1.4   Type of alleged abuse

In 2018/19, Neglect and Acts of Omission (477), and Physical (assault/injury) abuse (275), were the most highly reported allegations of abuse. Both of these types of abuse are most prevalent for older people and this is consistent with the increase in Concerns for older people.

In line with the Care Act 2014 requirements, Self-Neglect, Modern Slavery and Domestic Violence are new categories being reported as types of alleged abuse. During 2018/19, there were 154 cases of Self-Neglect reported as well as Modern Slavery with 5 cases and 81 cases of Domestic Abuse.

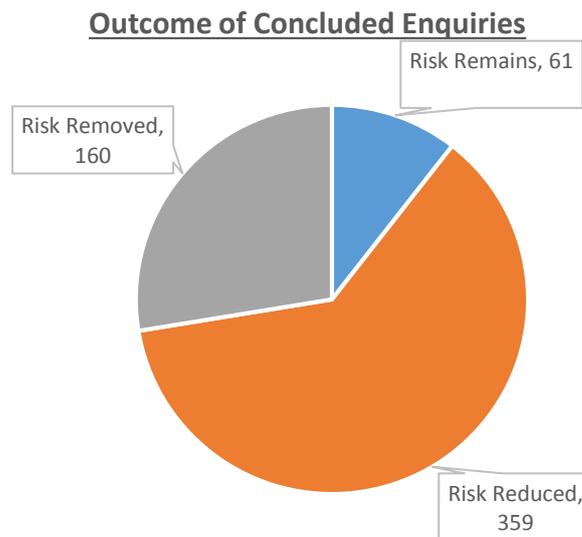**Chart 9**: Nature of alleged abuse for safeguarding contacts/enquiries

Chart 10: Nature of alleged abuse year on year comparison

	Physical (assault/ injury)	Sexual	Psychological	Financial or Material	Discriminatory	Organisational	Neglect and Acts of Omission	Domestic	Modern Slavery	Self-Neglect
2016/17	123	15	88	83	4	31	180	41	1	46
2017/18	233	60	150	130	9	99	290	75	4	110
2018/19	275	55	0	228	47	112	477	81	5	154

1.5 Concluded Case Outcomes

Under the Care Act new case outcomes were introduced. The outcomes recorded following Safeguarding Enquiries are now 'Risk Removed', 'Risk Reduced' or 'Risk Remains'. The concluded outcomes for 2018/19 are detailed in Chart 11.
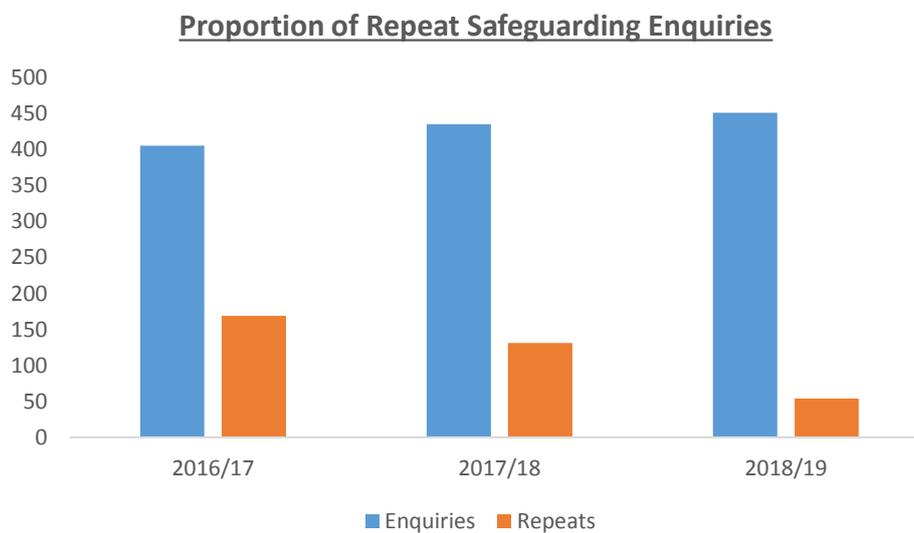
Chart 11: Concluded Case Outcomes

Outcome of Concluded Enquiries

Risk Remains, 61

Risk Removed, 160

Risk Reduced, 359

1.6 Repeat Referrals

During 2018/19, the number of repeat Safeguarding Enquiries decreased again for the third year, which can be indicative of referrers putting in place adequate protection plans for the adult at risk and therefore lessening the likelihood of a repeat incidents.

Chart 12: Repeat Safeguarding Enquiries

Proportion of Repeat Safeguarding Enquiries

■ Enquiries ■ Repeats

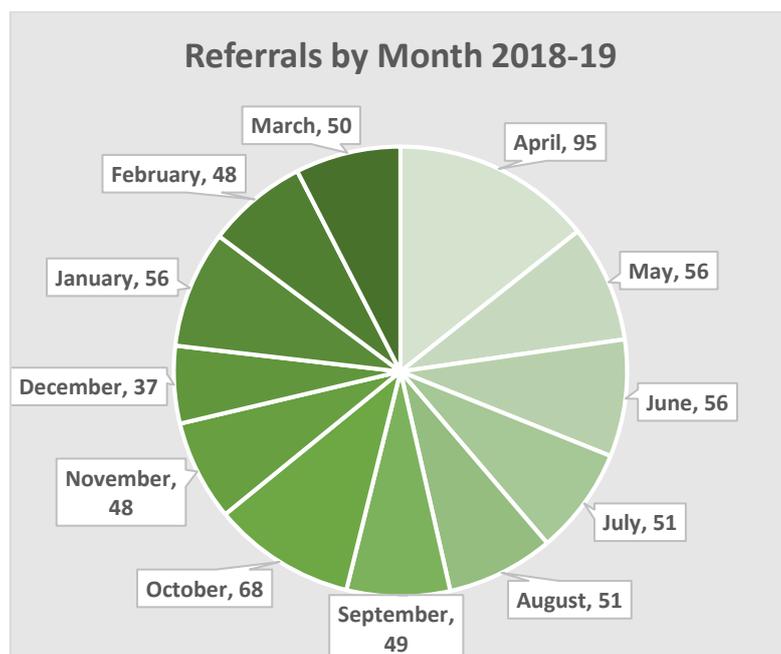# Performance Information and Summary Data
Deprivation of Liberty Safeguards

2. Deprivation of Liberty Safeguards Information

2.1 Referrals

From 01/04/18 to 31/03/19 a total of 665 requests were received, compared to 743 for the same period last year. This represents a 10.4% decrease. Chart 1 shows a breakdown of the number of referrals received per month.
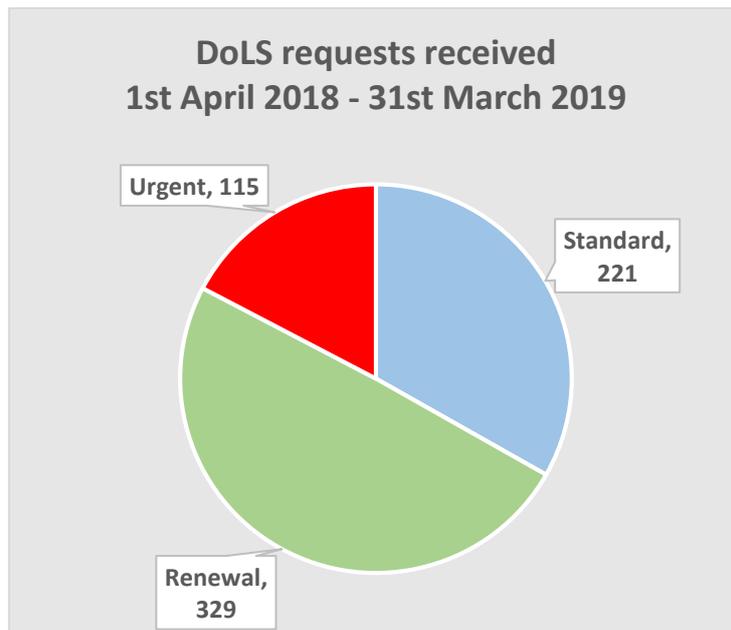
87% (582) of referrals were from care/nursing homes with 12.4% (83) coming from hospitals. For residents residing in the borough in hospital or care homes 527 requests were received (79.2%) compared with 138 (20.7%) of requests for Kingston residents residing outside of the borough.

Chart 1: Referrals received per month.

During the reporting year 2018/19, 221 Standard requests, 115 Urgent requests and 329 Renewal requests were received. Chart 2 shows the breakdown of the requests received by percentage. The 329 Renewal requests received were for repeat referrals. Of the 115 (17.2%) Urgent requests, 34 (29%) were from care/nursing homes and 81 (70%) received from hospitals.
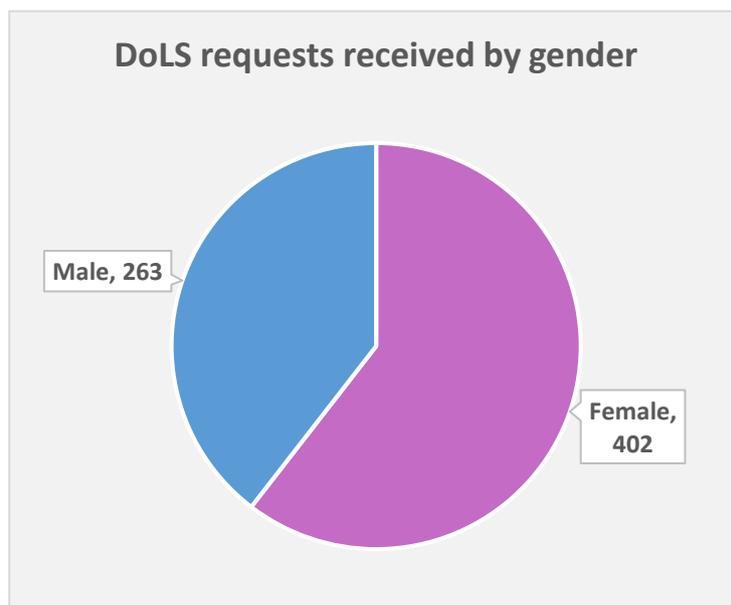
Chart 2: Breakdown of Requests received during 2018/19

DoLS requests received
1st April 2018 - 31st March 2019

Urgent, 115

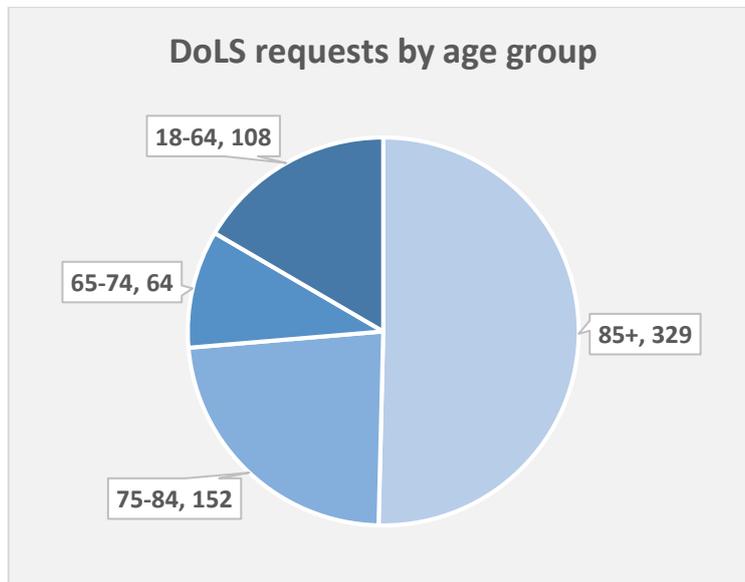Standard, 221

Renewal, 329

2.2 Demographics

Within the year, 402 requests received related to females (60%) and 263 requests received related to males (39%). This is consistent with previous years where a higher number of requests received are for females. The breakdown is shown in chart 3.

Chart 3: Breakdown of Requests received by Gender during 2018/19

DoLS requests received by gender
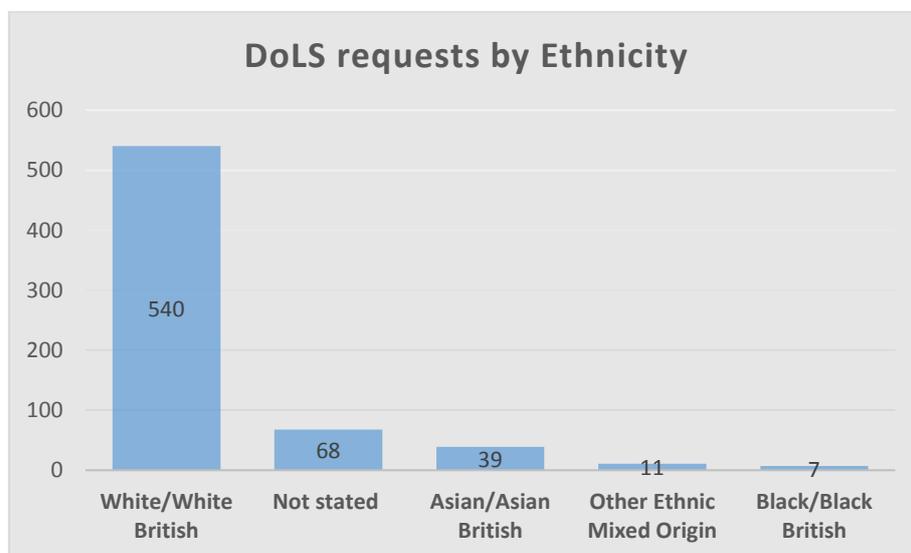
Male, 263

Female, 402

Of the 665 allocated DoLS requests received the majority 329 (49%) were for those aged 85 years and above and are largely from the Mental Health Dementia care group. The 108 (16%) DoLS requests received from people aged 18-64 are mainly from the Learning Disabilities care group.

Chart 4: Breakdown of Requests received by Age during 2018/19

The table below shows the breakdown of the 665 allocated DoLS requests by Ethnicity. As shown, 540 (81%) of DoLS requests received were for people from a White British origin, 39 Asian/Asian British origin, 4 (0.6%) from Other Ethnic origin, 7 (1%) Black/Black British origin, 7 (1%) Mixed/Multiple Ethnic origin and 68 (10%) did not declare their ethnicity.
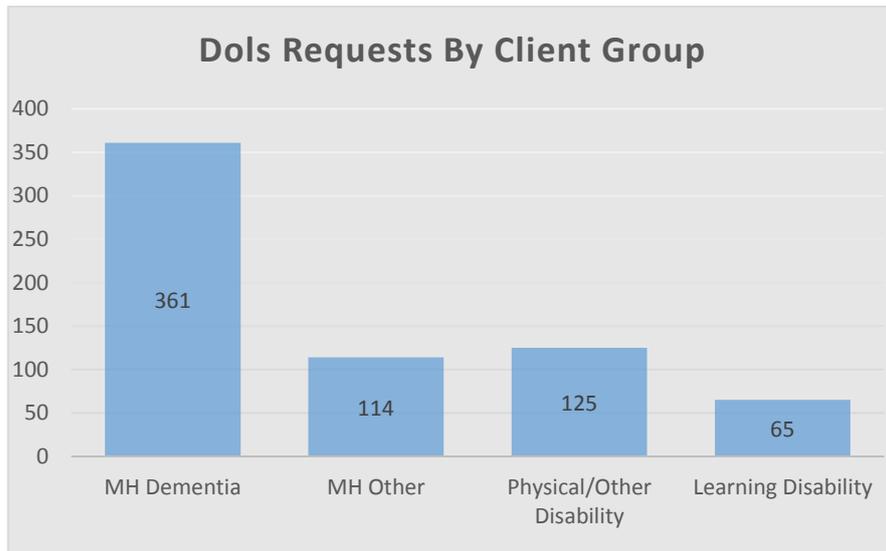
Chart 5: Breakdown of Requests by Ethnicity during 2018/19

2.3 User Group

The table below show the number of DoLS requests received by client group. The figures below are for the 665 DoLS that have been allocated from 1st April to 31st March 2019. The MH Dementia care group are the largest proportion of all DoLS requests received equating to 54% followed by Mental Health Other 17%, Learning Disability 10%, Physical Disability/other disability 18%
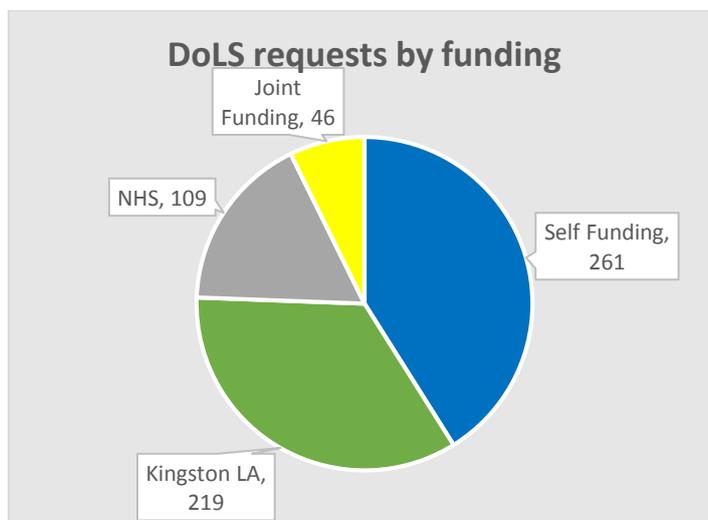
Chart 6: Breakdown of Requests by User Group during 2018/19

Dols Requests By Client Group

Client Group	Requests
MH Dementia	361
MH Other	114
Physical/Other Disability	125
Learning Disability	65

2.4 Funding

The chart below shows the breakdown of how residents are funded in the care/nursing homes. These figures are based on 665 active DoLS requests (not including the requests on the waiting lists). 32% of people are being funded by Kingston and 39% are self-funding.

Chart 7: Breakdown of Requests by Funding during 2018/19

DoLS requests by funding

- Joint Funding, 46
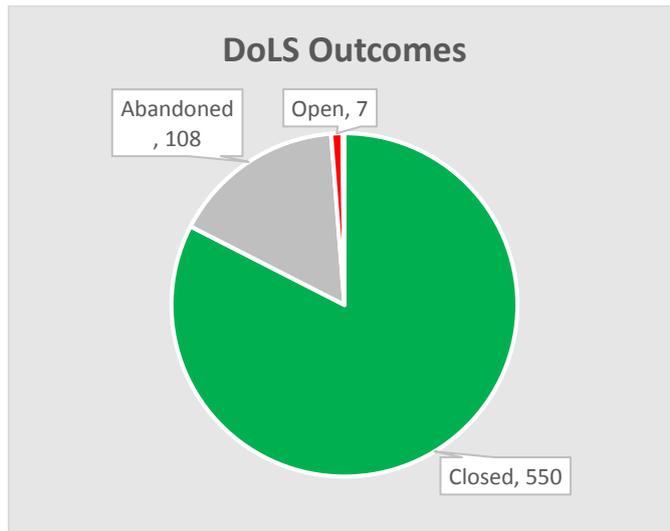- NHS, 109
- Self Funding, 261
- Kingston LA, 219

2.5 Outcomes

From the 665 allocated DoLS requests, 550 (82%) are closed and 7 (1%) are still open and going through the DoLS process. The 108 (16%) cases whereby the DoLS has been abandoned, this was due to:

- Residents leaving the care home after the DoLS was received by us
- Discharged from the hospital before being assessed for a DoLS
- Being funded by another Local Authority
- Passed away before BIA/S12 assessed

Chart 8: Breakdown of DoLS Outcomes during 2018/19

2.6 Comparative Data

The following information is for 2018/19 comparative data for Kingston and the comparator boroughs i.e. Merton, Sutton, Wandsworth and Richmond. Chart 9 below shows the number of DoLS referrals received into each borough during 2018/19.

Chart 9: Breakdown of DoLS Applications received.